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PATENTS  
112056-0123  
P01-1572

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:	)	
Robert L. Fair et al.	)	
	)	Examiner: Not yet assigned
Serial No.: 10/705,470	)	
	)	
Filed: November 10, 2003	)	Art Unit: 2184
	)	
For: METHOD FOR DETECTING	)	
LEAKED BUFFER WRITES	)	
ACROSS WAFL CONSISTENCY	)	
POINTS	)	
		Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		December 3, 2004

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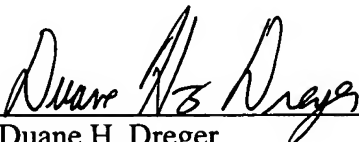
In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

PATENTS  
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P01-1572

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Respectfully submitted,

  
\_\_\_\_\_  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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### Complete if Known

Application Number	10/705,470
Filing Date	November 10, 2003
First Named Inventor	Robert L. Fair et al.
Group Art Unit	2184
Examiner Name	Not yet assigned
Attorney Docket Number	112056-0123

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		10/027,634		EDWARDS et al.		U.S. Patent Application filed December 21, 2001 entitled FILE SYSTEM DEFRAGMENTATION TECHNIQUE VIA WRITE ALLOCATION
		10/215,917		PAWLOWSKI et al.		U.S. Patent Application filed August 9, 2002 entitled MULTI-PROTOCOL STORAGE APPLIANCE THAT PROVIDES INTEGRATED SUPPORT FOR FILE AND BLOCK ACCESS PROTOCOLS
		10/705,493		HAMILTON et al.		U.S. Patent Application filed November 10, 2003 entitled SYSTEM AND METHOD FOR MANAGING FILE DATA DURING CONSISTENCY POINTS
		10/705,025		HAMILTON et al.		U.S. Patent Application filed November 10, 2003 entitled SYSTEM AND METHOD FOR MANAGING FILE METADATA DURING CONSISTENCY POINTS
		6,571,261	B1	WANG-KNOP et al.	05-27-2003	
		6,397,311	B1	CAPPS	05-28-2002	
		6,643,654	B1	PATEL et al.	11-04-2003	
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		5,941,972		HOESE et al.	08-24-1999	

### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet

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First Named Inventor

Robert L. Fair et al.

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		Number	Kind Code <sup>2</sup> (if known)			
		6,065,037		HITZ et al.	05-16-2000	
		US2002/011 6593	A1	KAZAR et al.	08-22-2002	
		US2002/011 2022	A1	KAZAR et al.	08-15-2002	
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		6,173,293		THEKKATH et al.	01-09-2001	

[illegible]

Date Considered

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Sheet

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10/705,470

**November 10, 2003**

Robert L. Fair et al.

2184

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Sheet 4 of 5

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Application Number	10/705,470
Filing Date	November 10, 2003
First Named Inventor	Robert L. Fair et al.
Group Art Unit	2184
Examiner Name	Not yet assigned
Attorney Docket Number	112056-0123

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"NETWORK APPLIANCE ENDS NAS/SAN WAR" by COMPUTERWIRE, <a href="http://www.theregister.co.uk/content/63/27368.html">http://www.theregister.co.uk/content/63/27368.html</a> ; posted on February 10, 2002	
		DAVID HITZ et al. TR3002 File System Design for a NFS File Server Appliance published by Network Appliance, Inc.	
		Common Internet File System (CIFS) Version: CIFS-Spec 0.9, Storage Networking Industry Association (SNIA), Draft SNIA CIFS Documentation Work Group Work-in- Progress, Revision Date: 3/26/2001	
		Fielding et al. (1999) Request for Comments (RFC) 2616, HTTP/1.1	
		Celerra File Server Architecture for High Availability, EMC2 where information lives, August 1999, pp. 1-7.	
		RON LENIVE and MICHELLE GERVAIS, Building a SAN, SunExpert Magazine, March 1999, pp50-64	
		Unix Workstation System Administration Education Certification Course, January 8, 2002	
		Auspex Systems, LFS - A Local File System for Multiprocessor NFS Network Servers, 1994	
		Maintenance Procedures ND (8C) nd-network disk control February 1985	
		Misc. Reference Manual Pages ND (4P) nd-network disk driver 26 July 1985	
		Asante EN/SC Adapter Family Installation Guide May 1994	

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
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		Asante Desktop EN/SC Adapters User's Manual April 1996	
		Performance Without Compromise: The Virtual Storage Architecture 1997	
		CALLAGHAN B. "NFS Version 3 Protocol Specification", Request for Comments (RFC) 1813 June 1995	
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		International Search Report PCT/US03/23597, 04-14-2004, 8 pages, International Searching Authority, Alexandria, Virginia, USA	

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